

FAIS DISCLOSURES

Impact Settlement Capital (Pty) Ltd

DOCUMENT VERSION CONTROL

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1. INTRODUCTION

Impact Settlement Capital (Pty) Ltd is an authorised financial services provider, licensed by the Financial Sector Conduct Authority (FSCA) under FSP No. 55738. This document sets out the disclosures the Company is required to make in terms of the Financial Advisory and Intermediary Services Act, 37 of 2002, and the General Code of Conduct issued under it.

Where a matter addressed in this document is specific to a particular Client or transaction, the Company will supplement this disclosure with further information in writing on request.

2. PROVIDER INFORMATION

	Detail
FSP Name	IMPACT SETTLEMENT CAPITAL (PTY) LTD
FSP Number	55738
Company Registration Number	2020/819526/07
Physical Address	107A Forrest Road, Atholl, Sandton, Johannesburg, 2196
Postal Address	107A Forrest Road, Atholl, Sandton, Johannesburg, 2196
Telephone Number	+27101003648
Email Address	info@isccapital.com
Website	www.isetcapital.com
Financial Services Category	Category I FSP and Crypto Asset Service Provider (CASP)

3. LEGAL AND CONTRACTUAL STATUS

Impact Settlement Capital (Pty) Ltd renders financial services in its own capacity as an authorised financial services provider

4. KEY CONTACT DETAILS

Role	Name	Contact	Email
Key Individual	Thomas Marx	+27 82 403 7570	tom@tllf.co.za
FAIS Compliance Officer	Sashika Adsetts	+27 73 682 2803	sasha@arc-solutions.global

5. LICENSED FINANCIAL SERVICES

Impact Settlement Capital is authorised to provide financial services in respect of the following Category I products:

Category	Category Description	Advice	Intermediary Service
1	Securities and Instruments	☐	☒
1	Crypto Assets	☐	☒
1	Shares	☐	☒

Category	Category Description	Advice	Intermediary Service
1	Debentures and Securitised Debt	☐	☒
1	Warrants, Certificates and Other Instruments	☐	☒
1	Derivative Instruments	☐	☒
1	Participatory Interests in a Collective Investment Scheme	☐	☒

6. PRODUCT SUPPLIER RELATIONSHIPS

Not applicable — the Company does not render services in connection with an external product supplier

7. GUARANTEES AND PROFESSIONAL INDEMNITY COVER

Impact Settlement Capital has been granted an exemption for Crypto assets from the requirement to maintain Professional Indemnity Insurance in accordance with the applicable regulatory requirements. However, the entity is actively engaging with providers to obtain Professional Indemnity cover for other products

8. REPRESENTATIVES RENDERING SERVICES UNDER SUPERVISION

No representative of the Company is currently rendering services under supervision

9. REGISTRAR EXEMPTIONS

Impact Settlement Capital has been granted an exemption for Crypto assets from the requirement to maintain Professional Indemnity Insurance in accordance with the applicable regulatory requirements.

10. FEES AND CHARGES

Fees and charges are disclosed within the client mandate.

11. CONFLICT OF INTEREST

The Company has adopted a Conflict of Interest Management Policy, which is available on the Company's website.

12. COMPLAINTS AND OMBUD DETAILS

The Company has adopted a Client Complaints Policy, available on the Company's website, which sets out the process and procedure for lodging a complaint.

Where a Complainant remains dissatisfied after the Company's internal process has concluded, the matter may be referred to:

- Physical address: 125 Dallas Avenue, Menlyn Central, Waterkloof Glen, Pretoria, 0010
- Postal address: P.O. Box 41, Menlyn Park, 0063
- Telephone: 012 762 5000 | Sharecall: 086 066 3274
- Email: info@faisombud.co.za | Website: www.faisombud.co.za