

SIE EXAM STUDY RESOURCE FOR CHATGPT

Copy and paste the following prompt into ChatGPT for the specific unit you're covering for the day:

UNIT 1

Summarize all of unit 1 for the SIE EXAM: securities, common stock, types of common stock, rights of common stock, benefits of owning common stock and preferred stock AND GIVE ME KEY TESTABLE POINTS on the entire unit

UNIT 2

Summarize all of unit 2 for the SIE EXAM: American depository receipts, rule 144 stock, rights and warrants, stock dividends, stock splits and actions of corporations and GIVE ME KEY TESTABLE POINTS ON THE ENTIRE UNIT.

UNIT 3

Summarize all of unit 3 for the SIE EXAM debt securities: bond basics, bond yields, bond ratings, benefits and risks of investing in debt, corporate bond, government securities, municipal bonds, money market instruments and GIVE ME KEY TESTABLE POINTS ON THE ENTIRE UNIT.

UNIT 4

Summarize all of Unit 4 for the SIE EXAM Investment companies: investing in mutual funds, reducing front-end loads, net asset value and forward pricing, disclosure documents and conduit theory and GIVE ME KEY TESTABLE POINTS ON THE ENTIRE UNIT.

UNIT 5

Summarize all of unit 5 for the SIE EXAM options: basic options, intrinsic value, option calculations, option strategies, no equity options, options rules and regulations and GIVE ME KEY TESTABLE POINTS ON THE ENTIRE UNIT.

UNIT 6

Summarize all of unit 6 for the SIE EXAM Other investment types: municipal securities, partnerships, REITS, EFTS, AND ETNs and GIVE ME KEY TESTABLE POINTS ON THE ENTIRE UNIT.

UNIT 7

Summarize all of unit 7 for the SIE EXAM Issuing securities: primary market basics, underwriting new issues, underwriting exemptions and GIVE ME KEY TESTABLE POINTS ON THE ENTIRE UNIT.

UNIT 8

Summarize all of unit 8 for the SIE EXAM trading securities: secondary market basics, over the counter markets, participants in the secondary market, placing a trade, types of orders, positions, broker-dealer capacity and settlement and GIVE ME KEY TESTABLE POINTS ON THE ENTIRE UNIT.

UNIT 9

Summarize all of unit 9 for the SIE EXAM basic accounts: accounts for adults, accounts for organizations, trust accounts, accounts for minors, fiduciary responsibilities and GIVE ME KEY TESTABLE POINTS ON THE ENTIRE UNIT.

UNIT 10

Summarize all of unit 10 for the SIE EXAM Retirement accounts: individual retirements accounts, employer sponsored plans and GIVE ME KEY TESTABLE POINTS ON THE ENTIRE UNIT.

UNIT 11

Summarize all of unit 11 for the SIE EXAM account features: cash and margin accounts, margin buying, trading costs, solicited trades and GIVE ME KEY TESTABLE POINTS ON THE ENTIRE UNIT.

UNIT 12

Summarize all of unit 12 for the SIE EXAM economics and analysis: the business cycle, economic indicators, industries and the economy, corporate financial statements, exchange rates, fiscal policy and monetary policy, and GIVE ME KEY TESTABLE POINTS ON THE ENTIRE UNIT.

UNIT 13

Summarize all of unit 13 for the SIE EXAM investment returns and investment taxation: measuring investment returns, types of income, using capital losses, and GIVE ME KEY TESTABLE POINTS ON THE ENTIRE UNIT.

UNIT 14

Summarize all of unit 14 for the SIE EXAM making recommendations: types of risks, mitigating risks, investment recommendations, regulation best interest, market benchmarks, and GIVE ME KEY TESTABLE POINTS ON THE ENTIRE UNIT.

UNIT 15

Summarize all of unit 15 for the SIE EXAM registration and continuing education: associating with a member firm, leaving a member firm, continuing education and GIVE ME KEY TESTABLE POINTS ON THE ENTIRE UNIT.

UNIT 16

Summarize all of unit 16 for the SIE EXAM government regulator and regulations: federal regulators, state regulators, SIPC and FDIC and GIVE ME KEY TESTABLE POINTS ON THE ENTIRE UNIT.

UNIT 17

Summarize all of unit 17 for the SIE EXAM Self-regulating organizations: FINRA, MSRB and the exchanges, record retention and GIVE ME KEY TESTABLE POINTS ON THE ENTIRE UNIT.

UNIT 18

Summarize all of unit 18 for the SIE EXAM Communications: communications with the public, telephone consumer protection, delivery requirements for trade confirmations, regulation SP and business continuity and GIVE ME KEY TESTABLE POINTS ON THE ENTIRE UNIT.

UNIT 19

Summarize all of unit 19 for the SIE EXAM business ethics: prohibited activity, insider trading, serving seniors, and other specified adults, problematic activities, outside activities and GIVE ME KEY TESTABLE POINTS ON THE ENTIRE UNIT.