

GUIDE

AI in Financial Services

A Practical Guide to Saving
20+ Hours a Month



Chapman Technology Partners



Where AI Delivers Real Time Savings in Financial Services

In a sector built on trust, precision, and regulation, AI isn't just a buzzword, it's a game-changer. For financial advisers, wealth managers, mortgage brokers, and accountants, Artificial Intelligence can streamline day-to-day tasks, reduce admin headaches, and give you back real time to focus on high-value client work.

Here's how...

1. Client Meeting Preparation – Saved: 2–3 hours per week

Problem: Manually reviewing client records, past interactions, and portfolio data takes time.

Solution:

AI tools can pull in CRM data, past meeting notes, emails, and key financial documents to generate a tailored briefing ahead of client meetings, complete with questions to ask, potential upsell opportunities, and compliance reminders.

Example tool: Microsoft Copilot | ChatGPT + CRM integration

“Show me the last three meetings with John Smith, his current investment split, and any ISA contributions due this tax year.”

93% of UK financial services firms already use or plan to use AI in some capacity.

Source: FCA & Bank of England survey, 2023



2. Paraplanning Drafts & Suitability Reports – Saved: 4–6 hours per week

Problem: Writing compliance-ready reports is essential but time-consuming.

Solution:

AI can generate first-draft suitability reports, risk profile summaries, and investment rationale documents based on structured inputs from CRM or factfinds. You still review, but 80% of the work is done.

Example tool: GenAI integrations with FE Analytics, Timeline, or bespoke GPTs

“Draft a suitability report for a 45-year-old with a medium risk appetite, focusing on tax-efficient income.”

3. Email Triage & Drafting – Saved: 2–4 hours per week

Problem: Your inbox never stops.

Solution:

AI email assistants can summarise threads, suggest replies, and categorise messages based on urgency or topic (e.g. investment queries, mortgage updates, compliance).

Example tool: Copilot | Gmail + ChatGPT

“Summarise this email chain with Mr Patel and draft a professional response outlining next steps.”

4. Document Summarisation & Analysis – Saved: 1–2 hours per week

Problem: Long PDF policy docs, investment updates, or FCA releases take time to read and digest.

Solution:

Drop them into an AI tool that gives you a summary, key bullet points, risks, or opportunities. Helps when keeping up with market news, product changes, or compliance updates.

Example tool: Copilot | ChatGPT Advanced Data Analysis

“Summarise this FCA guidance into bullet points for mortgage advisers, highlighting any action needed.”

5. Client Communications & Newsletters – Saved: 1–2 hours per week

Problem: You need to stay in touch, but writing monthly updates is draining.

Solution:

AI can take bullet points or industry news and turn them into clear, compliant client updates. Tailor for advisers, investors, or mortgage clients.

Example tool: ChatGPT

“Write a client-friendly explainer on how interest rate changes affect fixed-rate mortgage renewals.”

6. Data Entry & Admin Tasks – Saved: 2–3 hours per week

Problem: Repetitive data input into CRMs, risk tools, or back-office systems.

Solution:

AI can scan client fact finds or documents and auto-complete CRM fields or onboarding systems.

Example tool: Zapier AI + OCR tools like Docparser or HubSpot AI

“Extract name, DOB, NI number, and risk profile from this PDF and log it in the client record.”

Bonus: Compliance Checklists & FCA Monitoring – Saved: 30–60 mins per week

AI can monitor activity logs, emails, or marketing content for potential compliance risks. Not a replacement for your compliance officer, but a strong first line of defence.

Total Time Saved: 20+ Hours per Month (Conservatively)

- That's 1 full working day every week reclaimed.
- More time for clients, strategy, or simply breathing room.



Important Reminder:

AI isn't here to replace regulated professionals, but it can enhance your work.

Every AI-generated output still needs a human layer of review, especially when dealing with regulated advice, suitability, and client-specific recommendations. But used wisely, AI can become your digital co-pilot.

82% of financial services professionals say AI is already improving operational efficiency.

Source: PwC Global AI Study

Firms using AI in client onboarding report a 60% reduction in manual input time and a 30% improvement in compliance accuracy.

Source: Deloitte 2024

68% of financial advisers now use AI-assisted tools for document summarisation or report drafting.

Source: FCA TechSprint 2024 Report

Next Step: Ready to Explore AI for Your Firm?

We help financial services firms implement cyber-secure, compliant, and practical AI solutions — tailored to the way you work.

Book your free [15 minute intro call here](#) and let's discuss how AI can help your firm.

www.chapmantechologypartners.co.uk



Chapman Technology Partners

Strategic IT, Cyber Security & AI Solutions
for Regulated Industries

Strawberry Fields Digital Hub,
Chorley, PR7 1PS

| enquiries@ctpartners.co.uk
01257 542388

