

Fintomic Privacy Policy

Effective Date: 11/26/2025

Last Updated: 11/26/2025

1. Introduction

Fintomic (“we,” “us,” “our,” or “Company”) is committed to protecting the privacy and security of personal and financial information of our users (“you,” “your,” “client,” “customer”). This Privacy Policy describes how we collect, use, disclose, and safeguard your information when you use our website, mobile applications, and/or financial services (collectively, the “Services”).

If you are a customer or user of our Services, this Privacy Policy applies to you. By using our Services, you consent to the practices described here.

2. Regulatory Framework; Scope & Applicability

- Fintomic may be subject to (or elect to comply with) regulations under the U.S. Securities and Exchange Commission (SEC) and the Financial Industry Regulatory Authority (FINRA), as well as applicable privacy law frameworks such as the Gramm-Leach-Bliley Act (GLBA), including its privacy rule under Regulation S-P. [SEC+2FINRA+2](#)
 - As required under Regulation S-P and related regulatory guidance, we provide disclosures of our privacy practices with respect to nonpublic personal information, and we maintain safeguards for customer information. [SEC+2Paul, Weiss+2](#)
 - This Privacy Policy reflects our commitment to uphold those obligations and to provide transparency around our practices with respect to your information.
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3. Information We Collect

We may collect various types of information in order to provide our Services, including but not limited to:

- **Personally Identifiable Information (PII):** such as your name, mailing address, email address, telephone number, date of birth, social security number (or other government-issued identifier), tax identification number, and other identity verification information.
 - **Financial Information / Account Data:** such as bank account details, transaction history, investment holdings, account balances, payment history, credit or debit card information (where applicable), account application data, and other data necessary to provide financial services to you.
 - **Technical and Usage Data:** such as IP address, device information, browser type, login history, cookies and similar tracking technologies, usage logs, and other metadata related to your use of our website or app.
 - **Other Information:** any information you voluntarily provide (e.g., when contacting support, submitting forms, providing feedback), or that we collect in connection with providing the Services (including verification, compliance, or security related information).
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4. How We Use Your Information

We use the information we collect for purposes including, but not limited to:

- Providing, maintaining, and improving our Services (e.g., account setup, transaction processing, investment, payment processing, customer support).
- Performing identity verification, KYC (Know-Your-Customer), anti-fraud, anti-money laundering (AML), and other compliance, security, and risk-management activities.
- Communicating with you about your account, transactions, changes to our policies, or any other relevant notices or disclosures.
- Complying with applicable law, regulation, or requests from regulatory authorities (e.g., SEC, FINRA) as required.
- Protecting against, detecting and responding to potential security incidents, unauthorized access, or abuse of our Services.
- Other purposes disclosed to you at the time of data collection (or as otherwise consented by you).

5. Disclosure of Your Information; Third Parties & Sharing

As a financial services provider, we may share nonpublic personal information only under certain conditions, consistent with regulatory rules such as Regulation S-P / GLBA.

[SEC+2Federal Trade Commission+2](#)

We may share your information in the following circumstances:

- **With Service Providers:** We may share information with third-party service providers (e.g., payment processors, custodians, identity verification providers, IT/hosting providers) that perform services on our behalf. We contractually require these service providers to maintain confidentiality and use the information only for the purposes for which we shared it. [SEC+1](#)
- **As Required by Law or Regulation:** We may disclose information to comply with legal obligations or regulatory requests (e.g., SEC, FINRA), to respond to subpoenas or court orders, or to cooperate with law enforcement or governmental agencies.
- **With Your Consent:** We may share information with other third parties if you have provided explicit consent at the time of data collection or subsequently.
- **Other Situations as Disclosed or Permitted by Law:** If we share data in other ways (e.g., joint marketing, merger/acquisition), we will inform you as required by applicable law — including providing any required opt-out opportunity.

If we intend to share nonpublic personal information with non-affiliated third parties outside of permitted exceptions, we will provide an opportunity for you to opt out before such disclosure (if required by law). [SEC+2SEC+2](#)

6. Security and Safeguards

We maintain administrative, technical, and physical safeguards designed to protect the security, confidentiality, and integrity of customer records and information. These measures are reasonably designed to:

- Protect against anticipated threats or hazards to the security or integrity of customer information;

- Protect against unauthorized access to or use of personal and financial data;
- Ensure only authorized personnel have access to your data;
- Secure communications (e.g., encryption in transit and at rest where appropriate);
- Implement procedures for regular review, monitoring, and maintenance of security practices.

As a regulated financial firm (or firm seeking to comply with regulatory standards), we may also maintain written policies and procedures for data breach / incident response and identity theft prevention, consistent with guidance for member firms under the jurisdiction of the SEC / FINRA. [FINRA+1](#)

7. Privacy Notices; Customer Rights and Opt-Out

- If you become a “customer” (i.e., you open an account with us or maintain a continuing relationship), we will provide you with an **initial privacy notice** at the time we establish the relationship. [SEC+2FINRA+2](#)
 - If we intend to share nonpublic personal information with non-affiliated third parties (outside of certain permitted exceptions), we will provide an **opt-out notice** and an opportunity for you to opt out before such sharing. [SEC+2SEC+2](#)
 - We will provide **annual privacy notices** for the duration of the customer relationship (unless we do not share nonpublic personal information and do not change our privacy practices from the most recent notice). [Paul, Weiss+1](#)
 - These notices will describe the types of information we collect, the categories of third parties (if any) to whom we disclose information, and our policies for safeguarding data. [SEC+1](#)
 - If at any point you wish to review our privacy notice, request a copy, or exercise any opt-out right (where applicable), you may contact us at [contact details / compliance email].
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8. Data Retention

We will retain your personal and account information for as long as necessary to provide the Services, to comply with legal and regulatory obligations, to resolve disputes, to enforce our agreements, or as otherwise required by applicable law.

Where retention is no longer necessary, we will securely delete, anonymize, or de-identify personal information, unless retention is required by law or permitted for legitimate business purposes (e.g., fraud prevention, compliance).

9. Your Rights & Choices

Depending on your jurisdiction and applicable law, you may have certain rights regarding your personal information, including:

- Right to access or request a copy of your data.
- Right to request correction of inaccurate or incomplete information.
- Right to request deletion or anonymization of certain personal data (subject to legal or regulatory retention requirements).
- Right to opt out of certain disclosures or data sharing (where applicable).
- Right to withdraw consent (if processing is based on consent), subject to limitations.

If you wish to exercise any of these rights, please contact us at [compliance / privacy contact information].

10. Cookies, Tracking, and Analytics

We may use cookies, web beacons, tracking pixels, and other similar technologies on our website or applications for purposes such as security, authentication, analytics, performance monitoring, and improving user experience.

You may manage your cookie preferences through your browser settings; however, disabling certain cookies may limit your ability to use or access parts of our Services.

11. Children / Minors

Our Services are not intended for children under the age of 18. We do not knowingly collect information from children under 18. If you believe we have inadvertently collected data from a child under 18, please contact us, and we will work to delete such information promptly.

12. Updates to This Privacy Policy

We may update this Privacy Policy from time to time (e.g., to reflect changes in our practices, regulatory requirements, or security measures). When we do, we will revise the “Last Updated” date above. If the changes are material, we will provide notice via our website or other means as required by applicable law or regulation.

Your continued use of our Services after the update constitutes acceptance of the new Privacy Policy.

13. Contact Information

If you have questions, concerns, or requests regarding this Privacy Policy or our privacy practices, please contact us at:

Fintomic — Privacy / Compliance

Email: support@fintomic.ai

Address: 5915 Oak Bridge Ct, Bradenton FL 34202

Phone: +1 941-216-5491

14. Disclaimer; No Legal or Regulatory Guarantee

This Privacy Policy is provided for informational purposes only and does **not** constitute legal advice. While Fintomic endeavors to comply with applicable laws and regulatory requirements (including those of the SEC, FINRA, GLBA, Regulation S-P), regulatory obligations may vary depending on the nature of services we provide, licensing and registration status, and applicable jurisdiction.

You should consult with qualified legal counsel to ensure full compliance with all applicable laws, regulations, and regulatory obligations relevant to your particular business model and operations.